EC/8-HB

Application to Amend a Home-based Early Learning Service's **Details**



(OFFICE ONLY) DATE RECEIVED

This application is to be used by home-based early learning services holding a licence under the Education (Early Childhood Services) Regulations 2008.

This application is to be completed by a person who is involved in the governance of the service if they wish to:

- make any alterations to the service that would affect the conditions of the licence; or
- make any changes to the operation of the service that would make any matter specified on the licence incorrect; or
- change the identity of the service provider operating the licensed service; or c)
- d) change service provider details; or
- change the service name or service details; or
- change the service provider contact person or their details; or
- g) change the funding contact person or their details; or
- change the emergency contact person or their details.

You do not have to resupply information that has not changed.

1. Current Contact Details						
Contact Details Provide details of the current service provider and home-based service name.	Current Service Provider Name					
	Current Service Name(s)					
	Service Number(s) (printed on current licence certificate)					
	Service Provider Number(s) (if known)					
Applicant Contact Details A person must be listed for the purposes of this application. This person must be from the service	First Name	Middle Names				
provider and is the person who must complete this application, including the Application Declaration in section 10 and the Statutory Declaration in section 11.	Family Name					
	Phone Number					
	Mobile Number					
	Email	Tick if this email can be made publicly available.				

2. Reason for Application

This section relates to the reason for the change.

Tick the box(es) that indicate the nature of the amendment applied for

If you require more than one change, tick and complete all relevant sections.

For example, if with the change of service provider the contact person has also changed complete both section 3 and section 5 as well as the Application Declaration in section 10 and the statutory declaration in section 11. Also review the documentation checklist in section 12 for the documents that are required to support the application.

Change of Service Provider/Service Provider Details

Complete sections 3, 7 and the Application Declaration in section 10. If there is a change in the identity of the service provider (eg, change of ownership), also complete the Statutory Declaration in section 11.

Change of Service Name/Address/Contact Details
Complete sections 4, 7 and the Application Declaration in section 10.

Change of Service Provider Contact Person
Complete sections 5, 7 and the Application Declaration in section 10.

Change of Funding Contact Person
Complete sections 6, 7 and the Application Declaration in section 10.

Change of Emergency Contact Person
Complete section 7 and the Application Declaration in section 10.

Change of Operating Conditions
Complete sections 7, 8 and the Application Declaration in section 10.

Brief description of the events likely to affect the status of the licence. Provide any additional information relevant to this application (e.g. building alterations; change in use or reorganisation of play space/ sleep space etc.)

Date for changes to be effective from.

(/ /)
(DAY/MONTH/YEAR)

3. Change of Service	e Pr	ovid	er/S	ervio	ce Pr	ov	ider	De	tai							
Where there are changes to home-based service this sec the identity of the service pr	tion m	iust b	e comp	leted.	This	sect	ion m	nust a	also	be co	mple	eted	if the	ere is	a chan	ge to
Service Provider Name This is the name of the body, agency or person who provides, or offers to provide a home- based education and care service.																
New Zealand Business	9	4														1
Number (NZBN) The Companies Office administers the NZBN register which is responsible for the allocation of the NZBN for New Zealand businesses.	If you o If you a If you o	If you do not have a NZBN enter N/A , or If you are not sure if you have a NZBN look it up using your organisation's name at www.nzbn.govt.nz or If you do not know your NZBN enter '?' Note that if your organisation is an incorporated society, a trust board or a company then you will have							,							
Ownership Type Tick one only	Owne	d Priva	ately													
		Sole Ti		_			Compar	ny				Parti	nership	p		
	Owned by an Incorporated Society An Incorporated Society (under the Incorporated Societies Act)															
				d Socie	ty (unde	er the	e Incorp	orated	d Soci	eties Ac	t)					
		Charita	able Trust ble Trusts		r the) t	Statuto rust und Church 1	der the	e Angl	ican		trust	ate Tru s declar table fo	red to b		
	Owne	Owned by a Community Organisation														
		Goverr	nment De	epartme	ent C) 5	State O	wned	Ente	erprise		City	Counc	il		
		Health	Board			I p e	Public E nstitut Polytech Education Vananga	ion (e nic, co n, univ	.g. ollege			Com	ımunity	y Trus	t	
Sole Trader Declaration (This declaration is only applicable to applicants operating as Sole Traders) Please see	Sole Trader consent is required under section 548A(1)(b) of the Education and Training Act 2020, for the Ministry of Education (authorised under Part 5 of the Data and Statistics Act 2022) to disclose data held in Statistics New Zealand Integrated Data Infrastructure related to your service.															
section 13, note 3 for more information	will s of fur	This consent only applies to data disclosed at the level of an early childhood service and will solely be used be for the purposes of developing or using tools relating to the provision of funding to early childhood services, i.e., an equity index, as provided for in section 548A(1) of the Education and Training Act 2020.														
			of the cl						d, as	data i	n the	IDI i	s gove	erned l	ру	
	You r	nay wil	thdraw y	our cor	nsent at	any	time l	by no	tifyin	g the N	4inist	ry in	writing	g.		
) I au	ithorise (consent	:				I do I	not aut	horis	e con	sent			
	Sign	ature	X				ı	Date								

Service Provider Address These addresses relate to the service provider's place of business.

Street Address	
Suburb / District	
Town / City	Postcode

	Phone Number	Mobile Number
	Email	Tick if this email can be made publicly available
	Website	
If different from Street Address	Postal Address	
	Suburb / District	
	Town / City	Postcode
	Special Delivery Instructions	
4. Change in Servi	ce Name/Address/Contac	t Details
Where there are changes to blank if the service details have		must be completed. Leave this section
Service Name		
Service Address These addresses relate to the service for which the amendment to the licence is sought.	Tick this box if the service's street street address in section 3. Street Address	t address is the same as the service provider's
Note: Amendments to the address include when a service changes location. It does not include homes within a service.	Town / City	Postcode
	Phone Number	Mobile Number
	Email	Tick if this email can be made publicly available
	ELI Email	
	Website	
If different from Street Address	Postal Address	
	Suburb / District	
	Town / City	Postcode
	Special Delivery Instructions	

5. Change of Service Provider Contact Person

Where the service provider wishes to change the person nominated as the contact person, this section must be completed. Leave this section blank if the service provider contact person details have not changed.

Name of Service Provider Contact Person

This is the person nominated by the service provider to represent it and whose name will appear on the amended certificate of licence.

This person must reside locally. See section 13, note 1, for more information about the service provider contact person requirements, including what is meant by reside locally.

First Name	Middle Names
Family Name	
Address	
Suburb / District	
Town / City	Postcode
Special Delivery Instructions	
Phone Number	
Mobile Number	
Email	Tick if this email can be made publicly available

6. Change of Funding Contact Person

Where the service provider wishes to change the person nominated as the funding contact person, this section must be completed. Leave this section blank if the funding contact person details have not changed.

Name of Funding Contact Person This person will receive financial forms (eg RS7) from the Ministry of Education and be the contact for funding matters.

First Name	Middle Names
Family Name	
Address	
Suburb / District	
Town / City	Postcode
Special Delivery Instructions	
Phone Number	Mobile Number
Email	Tick if this email can be made publicly available

7. Confirmation of or change to the Emergency Contact Person

Provide details of the emergency contact person for the service. This is to ensure we hold the most up-to-date details.

We recommend you choose someone who would normally be on-site during the service's operating hours. Their presence at the service means they can provide us with a quick response and a request for help if needed.

Emergency Contact Person This is the person who the Ministry will contact in an emergency.

First Name	
Family Name	
Phone number	Mobile number
Email	

8. Change of Operating Details

The operating details provided below will inform the conditions under which the service will be authorised to operate, and which will be listed on the amended certificate of licence. Where the service provider wishes to change any of the service operating details this section must be completed. Detail all sessions below if any amendments are being made. Leave this section blank if the operating details have not changed.

	Mon	nday	Tues	sday	Wedn	esday	Thur	sday	Fric	day	Satu	rday	Sun	day
	Start	End	Start	End	Start	End	Start	End	Start	End	Start	End	Start	End
Times														
Max # child places														
Max # child places aged under two years														

Note: The person responsible requirements must be met over the hours of operation whenever children are in attendance.

This form is not used to change your funding rate. You will need to complete an EC11HB to change the funding rate, however, please indicate if this licence is a standard or a quality funded licence.

Standard funding rate
Quality funding rate

9. He Pānui Kōhungahunga – the Early Learning Bulletin

The Ministry publishes a monthly bulletin which is emailed to all service providers. The bulletin is the Ministry's way of communicating important information, changes and events happening in the early learning community.

Ensure that you read and engage with information in the bulletin to stay up to date and remain compliant.

If you would like the bulletin emailed to others within the service, please add their information through the bulletin sign up page:

https://govt.us10.list-manage.com/subscribe?u=373954a1e5499801180060837&id=93430945e7

10. Application Declaration

The applicant must complete the applicant declaration below. If there is an amendment to the service provider also complete the Statutory Declaration in section 11.

If there is a change to the service provider contact person, both the current and proposed service provider contact person must complete the service provider contact person declarations below. If the current service provider contact person is unable to sign, a representative from the service provider (state full name and role) must provide a reason for this and a statement confirming the change.

Applicant Declaration

I am applying under the Education (Early Childhood Services) Regulations 2008 to change the details as identified in this application.

I confirm that to the best of my knowledge the information contained in this application is correct and accurate.

Full name of Applicant	First Name	Family Name
Signature	X	
Date	(/ /) (DAY/MONTH/YEAR)	

Service Provider Contact Person Declarations

As the current service provider contact person, I confirm that I will no longer be the service provider contact person for this service.

Full Name of Current Service Provider Contact Person (if applicable)	First Name	Family Name
Signature	X	
Date	(/ /) (DAY/MONTH/YEAR)	

As the proposed service provider contact person, I confirm that I meet, and will continue to meet, the requirements of a service provider contact person as detailed in section 13, note 1.

Full Name of Proposed Service Provider Contact Person	First Name	Family Name
Signature	X	
Date	(/ /) (DAY/MONTH/YEAR)	

11. Statutory Declaration by Service Provider

- This statutory declaration must be completed and signed by the applicant identified in section 1 in front of the authorised person under section 9 of the Oaths and Declarations Act 1957.
- Service providers that own or operate more than one service may wish to photocopy this part of the form once completed. Please note that the statutory declaration should be no older than 3 months if you intend to use it for subsequent licence applications.
- For more information about the statutory declaration, see section 13, note 2. This also tells you what you must do if any circumstance arises which might make this statutory declaration incorrect.

STATUTORY DECLARATION

TO ACCOMPANY APPLICATIONS TO AMEND LICENCES TO OPERATE HOME-BASED SERVICES

(First Schedule, Oaths and Declarations Act 1957)

I	of		
(insert name of applicant)	(insert address)		
<u> </u>			
acting on behalf of			
_	(insert name of service provider)		

("the service provider") understand that:

- 1. The following information is required for the purpose of enabling the Ministry of Education to determine whether members of the service provider, including the applicant, are suitable to be involved in the governance of the service.
- 2. This information is required pursuant to regulations 6(3)(b) of the *Education (Early Childhood Services)*Regulations 2008.
- 3. I must complete this application **on behalf of <u>myself and each person to be involved in the governance of the service.</u> See Section 13 Note 2 for clarification.**
- 4. It is an offence under Section 111 of the Crimes Act 1961 to make a false declaration where that declaration would amount to perjury if made on oath in a judicial proceeding.
- 5. Where I have answered in the affirmative to any statement below, I have attached all the relevant details in relation to the matter.

To complete this declaration – you must tick one of the options at the beginning of EACH of the following statements.

I SOLEMNLY DECLARE THAT TO THE BEST OF MY KNOWLEDGE:

I, and each person who is involved in the governance of the service

а	have do not have	previous conviction for any offence	
		any involvement in, or association with, an organisation that has been convicted of an offence	
С	have do not have	any history of health conditions that may affect the individual's ability to comply with the <i>Education and Training Act 2020</i> (the Act), the <i>Education (Early Childhood Services) Regulations 2008</i> , and any regulations made under section 636 of the Act	

d	have any property order or personal order under the <i>Protection of Personal Property Rights Act 1988</i> to which the person is, or has been, subject			
е	any sum of money owed, or previously owed, to the Crown, including in respect of bodies that the individual has been involved in managing			
f	have any adjudication of bankruptcy under the <i>Insolvency Act 2006</i> or <i>Insolvency Act 1967</i>			
g	have do not have	any prohibition on being a director or promoter of, or being concerned or taking part in the management of,— (i) a company under the <i>Companies Act 1993</i> ; or (ii) any other body corporate		
h	have do not have	any current or previous role as a governing member of an entity or organisation that became insolvent, including being placed in liquidation, receivership, or voluntary administration		
i	have do not have	any prohibition against acting as an employer or an officer of an employer under the <i>Employment Relations Act 2000</i> to which the individual is, or has been, subject		
j	have do not have	any previous involvement in an early childhood service in respect of which— (i) an application for a licence was refused; or (ii) a licence was suspended or cancelled		
k	have do not have	previous registration with the Teaching Council of Aotearoa New Zealand, an that registration was cancelled for any reason		
I	can confirm cannot confirm	that all of the educators in the service receive gain or reward for their work as an educator.		

And I make this solemn declaration conscientiously believing the same to be true and by virtue of the *Oaths and Declarations Act 1957*.

Do not complete the following section until you are in front of the <u>authorised</u> person witnessing your declaration. After the declaration is made and signed, there must not be any alterations. (Refer to section 13, note 2)

Signature of <i>i</i>	Applicant			
Declared at _		this	day of	
	(insert town or city)	(insert day, e.g. 21 st)	(insert month and year)	
Before		(Signat	ure of person taking declaration	on)
Justice of the Pe	ace or other person authorised	I to take a Statutory Declaration)	-	_
		(Full na	me of person taking declaration	on)
(Capacity, eg Barristo		eg Barrister, Justice of the Pe	eace)	

^{*}Service Provider means in relation to a home-based education and care service, the body, agency, or person who provides, or offers to provide, that education or care.

PRIVACY STATEMENT

The personal information collected from you in relation to this statutory declaration is required by the Ministry of Education for the purpose of assessing your licence application in accordance with the requirements set out under regulations 6 and 7 of the Education (Early Childhood Services) Regulations 2008. This information will not be disclosed to any other person or agency unless it is authorised or required by law.

If there is information requested on this form that you do not want to provide, discuss your concerns with an appropriate Ministry of Education officer who can explain the consequences of not providing it.

The information provided will be held by the Ministry of Education office that manages your application and you have the right under the *Privacy Act 2020* to request access to and correction of this information.

12. Documentation Checklist

To assist you in preparing your application, the following checklist shows the documentation that may be required to be forwarded to your local office of the Ministry of Education to support your application for a licence amendment. The documentation required will depend on what aspects of your licence you are applying to amend. Regulation numbers refer to the Education (Early Childhood Services) Regulations 2008 unless otherwise noted. If you have any questions, contact your local Ministry of Education office for assistance.

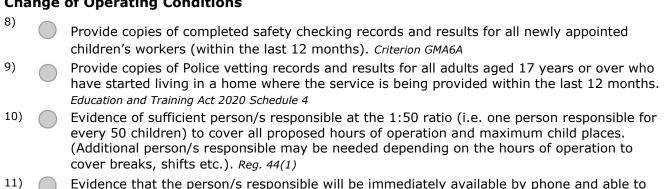
		, , , , , , , , , , , , , , , , , , , ,		
Cha	Change of Service Provider			
1)		Certificate of incorporation as a company, charitable trust or incorporated society if applicable. (proposed service provider)		
2)		IRD notification of charitable tax status if applicable. (proposed service provider)		
3)		A list of names and roles of all persons to be involved in the governance of the service. Reg $6(3)(b)$ (proposed service provider)		
4)		A completed RS2 funding form (if applicable) (proposed service provider)		
5)		Provide copies of completed safety checking records and results for all newly appointed children's workers (within the last 12 months). <i>Criterion GMA6A</i> (current service provider)		
6)		Provide copies of Police vetting records and results for all adults aged 17 years or over who have started living in a home where the service is being provided within the last 12 months. Education and Training Act 2020 Schedule 4 (current service provider)		

Change of Service Provider Contact Person

longer than 24 hours). Reg. 44(1)

7) Evidence the service provider contact person resides locally e.g. copy of a utility bill, tenancy agreement (section 13, note 1). Reg. 3

Change of Operating Conditions



attend a home in person as soon as practical based on a risk assessment of the situation (no

12)	Evidence of how educators will be proactively informed of who their person responsible is and how to contact them, in advance of children attending, including how educators will be proactively informed if their person responsible is unavailable e.g. sick or on leave. Reg. 44(1)	
13)	Evidence that demonstrates the percentage-based qualification requirements in Schedule 1A are met. Reg.44 (3A)	
14)	Evidence of enrolments and waiting list if applying for a licence maximum increase from 80 to 100.	

To be completed by Ministry of Education officers only				
Date	Complete	Incomplete		
Service Provider Details				
Service Provider Number (ECA number)	Licence number			
Effective Date (This is the day service will open)	Granted	Declined		

13. Information to Assist Applicants

Note 1: Nominating a Service Provider Contact Person

The Education (Early Childhood Services) Regulations 2008 require a contact person to be nominated for each service for which a licence is sought, and this person's name is displayed on the certificate of licence. The service provider is legally responsible for meeting regulatory requirements, not the contact person. However, the contact person must:

- represent the service provider;
- be able to respond to the Ministry of Education as soon as practicable on any issue relating to licensing;
- have the authority to act on behalf of the service provider; and
- reside locally.

In relation to the last bullet point, a person will generally be considered to 'reside locally' if they live within the geographical boundaries serviced by the local Ministry of Education office. Contact your local Ministry of Education office to discuss further if you are unsure whether the contact person would meet this requirement.

Note 2: Completing the Statutory Declaration

Who can I get to sign my statutory declaration?

- Section 9 of the Oaths and Declarations Act 1957 lists those who are authorised to take a statutory declaration.
- You will need to sign the statutory declaration in front of the authorised person you have chosen. Please be aware that after the declaration is made and signed, there must not be any alterations.
- It is your responsibility to ensure that the person you select is authorised to witness your declaration.
- You should check that the person notes on the form, with their signature, their full name and the capacity under which they are signing the declaration, eg Justice of the Peace, barrister of the High Court.

Who does the statutory declaration have to cover?

When the **service provider is made up of a group of people**, the statutory declaration needs to cover the officers of the organisation that has identified itself as the service provider. In most cases, the deed of incorporation for the legal entity will determine this. The following table outlines the most common scenarios for service providers.

If the service provider is	Then the statutory declaration should cover	
An incorporated society	the office holders (such as Secretary, Treasurer and President)	
A trust board	the board members	
A company	the company director(s)	
An individual person (whether registered as a legal entity or not)	only that person	
An unincorporated body	the people that identify themselves as being part of the governing body	

How do I get the information I need from the people covered by the statutory declaration?

As the person signing the statutory declaration, you must satisfy yourself that the information supplied is correct. How you collect this information from the other people covered by the statutory declaration is up to you and your organisation. There is a range of ways that this might be done, including:

Information gathered through your recruitment or election processes	Personal knowledge of the person
Verbal assurances	Written attestation
Seeking Police vets in relation to past convictions	Individual statutory declarations from each person covered (so that the statutory declaration to the Ministry of Education becomes a composite of individual declarations to the applicant)

Is there any other matter that needs to be declared to the Secretary?

Regulation 7 of the Education (Early Childhood Services) Regulations 2008 enables the Secretary to require a statutory declaration for any other matter considered relevant. As per this regulation, the Secretary requires the applicant and those involved in the governance of the service to declare:

- whether any governance members were previously registered with the Teaching Council of Aotearoa New Zealand, and that registration was cancelled for any reason; and
- whether they confirm all educators in the service receive gain or reward for their work as an educator.

How do I decide how to respond to the statements on the statutory declaration?

- You must tick one of the options at the beginning of each statement.
- If the service provider is a group of people and an affirmative answer applies to one or more people you must answer in the affirmative on the statutory declaration.
- Most of the statements are relatively straightforward people will know whether or not they have any
 convictions, adjudications of bankruptcy etc.
- The main exception will be statement (c) regarding health conditions. It is important to be clear about the difference between (1) a mental/physical condition that might prevent people from managing their role in complying with the conditions of a licence or obligations under the regulations and (2) any other condition that would not have this kind of impact. Relevant factors to consider might include, for example, health problems that require frequent hospitalisation or sick leave, or that might render a person unconscious or quickly incapacitated. Frequency and severity of those conditions might also be relevant.

What will the Ministry of Education do if I answer in the affirmative (ie 'have') on the statutory declaration?

If you answer in the affirmative for any statements you must attach all relevant information in relation to the matter. Answering in the affirmative to any statement does not automatically exclude the service provider from being deemed fit and proper to hold a licence. Given the range of unique circumstances that may be identified, all decisions about whether or not to grant a licence are made on a case-by-case basis at the Secretary's discretion.

What will the Ministry of Education do if I answer in the negative (i.e. 'cannot confirm') in statement (I) on the statutory declaration?

If you answer in the negative in statement (I), this excludes the service provider from holding a licence as the service provider does not meet the definition of a home-based service under the Education and Training Act 2020.

What do I need to do if something changes – e.g. the service provider changes, or people's circumstances change that make the statutory declaration incorrect?

Regulation 35 of the Education (Early Childhood Services) Regulations 2008 requires that a service provider must notify the Ministry of Education as soon as practicable if any of the circumstances under (a) to (I) on the statutory declaration form have changed for any person who is covered by the statutory declaration. You do not need to notify the Ministry of Education every time someone new becomes part of the service provider UNLESS that person's circumstances make the information you have provided on the statutory declaration incorrect. Verbal or written advice of a change of circumstances will be accepted and a new statutory declaration will not be required.

The Ministry of Education will then review the new information and decide whether the service provider continues to be fit and proper to hold a licence. Given the range of unique circumstances that may be identified, all decisions are made on a case-by-case basis at the Secretary's discretion.

Note 3: Sole Trader Declaration

When the Data and Statistics Act 2022 came into force, the Ministry was no longer able to calculate and then release the equity index number from the IDI for non-public organisations to input into the funding calculations. In late August 2023, Parliament passed a change to the Education and Training Act 2020 that allows the Ministry to release this data. The term used in the legislation for releasing the equity index data is disclose.

For sole traders the equity index number is considered personal information, as it is information about an identifiable person (the sole trader). Therefore, the legislation requires the Ministry to obtain consent from sole traders to disclose the equity index number relating to the services they operate.

The information (i.e., your service's equity index number) we seek to release does not contain other personal information and is calculated based on the children attending your service at a service level. The privacy of the children and families using services is protected, as data in the Integrated Data Infrastructure is governed by robust confidentiality rules and is de-identified.

The legislation also authorises the Ministry to publish the equity index number for each service, which the Ministry does for the school's equity index. While we intend to provide each service with their number once calculated, decisions have yet to be made on publishing equity index numbers. Should the Ministry decide to publish equity index numbers, we will seek your permission separately.

In the event you do not agree to the index being released or withdraw your consent in the future, the Ministry will develop a bespoke equity index number for your service. This number may not accurately reflect the current circumstances of the children attending your service, which may affect your service's entitlement to equity funding.

Your consent will remain valid for the duration of your licence to operate your early childhood service. Should there be a change in ownership of your service to someone who intends to operate as a sole trader, the Ministry will seek a separate consent from the new owner when they apply for their licence.